ESTATE PLANNING DATA

YOU

Full Name:

Also known as:

Drivers License Number:

Birthdate:

Social Security Number:

Business Address:

E-mail address

Business Phone Number:

Home Address:

Home Phone Number:

Cell Phone Number:

Home County:

SPOUSE

Full Name:

Also known as:

Drivers License Number:

Birthdate:

Social Security Number:

CORPORATE DATA

Corporate Name:

Shareholders:	# Shares Owned:

Officers:	Title:

Directors:	

Children's Names	Birthdates	Marital Status	No. of Children	Ages

We ask that you bring the following information with you when you meet with us:

- Most recent corporate income tax return for closely held business
- Most recent year end financial statements for closely held business
- Any buy-sell agreements for closely held business
- Most recent income tax return
- Most recent IRA or 401(K) statement
- Prior Wills or Trust Agreements
- Prior gift tax returns
- Beneficiary designations for your life insurance policies and retirement plans
- Any pre-marriage agreements

SUMMARY OF FINANCIAL INFORMATION

Ownership and Current Estimated Values

ASSETS:	You	Spouse	Joint
Homestead			
Vacation Home			
Other Real Estate			
Closely Held Business Interests:			
#1___			
#2___			
#3___			
Marketable Stocks & Bonds			
Cash & Equivalents			
Cars			
Household goods, furnishings & personal property			
*Face Value of Insurance:			
On your life owned by you			
On spouse's life owned by spouse			
*IRA's			
*Retirement Plans			
Other Assets			
(Describe)			
Total Assets	$	$	$

LIABILITIES:			
Homestead Mortgage			
Business Debt (not included in value of business above)			
Insurance Loans			
Other Debts			
Total Liabilities	$	$	$
Net Worth	$	$	$

SUPPLEMENTAL INFORMATION

ALL LIFE INSURANCE

Company	Type of Policy*	Owner	Insured	Beneficiary	Cash Value	Face Value

*E.g. whole life, term group, split dollar

LONG TERM CARE INSURANCE

Company	Insured	Daily or Monthly Benefit	Benefit Period	Waiting Period

RETIREMENT AND DEATH BENEFITS

IRA Accounts (including SIMPLE IRAs):

	Bank or Institution	Amount	Beneficiary
You			
Spouse			

Pension, Profit Sharing or other Retirement Plans:**

	Employer	Type of Plan	Estimated Present Death Benefit	Beneficiary
You				
Spouse				

Your benefits administrator should be able to confirm the nature and estimated amount of your death benefits and the name(s) of the beneficiaries you have designated.

**E.g., stock bonus plans (including ESOPs), 401(k) plans, Keogh or HR-10 plans and tax sheltered annuities.

ESTATE PLANNING INFORMATION

	Yes	No
Do you and your spouse presently have wills? If yes, indicate date executed and bring them to your initial meeting. ____________________	______	______
Do you or your spouse own any property jointly with any other person?	______	______
Is it likely that your estate or your spouse's estate will grow significantly in the next few years because of appreciation, additional insurance, or other reasons?	______	______
Do you or your spouse presently own real estate or tangible personal property located outside of your state of residency? If yes, what state(s)? ____________________	______	______
Have you or your spouse made gifts to any person or entity in excess of $10,000 per year prior to 2002, $11,000 per year after 2001 or $12,000 per year after 2005?	______	______
Are you or your spouse a beneficiary or trustee of any trusts?	______	______
Does any member of your family have special needs, for example, because of ill health?	______	______
Have you or your spouse ever been divorced?	______	______
If yes, do you have financial obligations from prior marriages?	______	______
Did you and your spouse sign any pre-marriage agreement? If yes, bring them to your initial meeting.	______	______
Are you a U.S. citizen?	______	______
Is your spouse a U.S. citizen?	______	______

In the event of your death, who should have control over management and any decision to retain or sell your closely held business?

____

Do you want trusts for your children or grandchildren, and, if so, how long should the trusts continue?

____

Who should receive your estate if neither your spouse nor any of your dependents (children, grandchildren, etc.) is living?

____

Who should administer your estate or any trusts?

____

Who should act as guardian(s) for your minor children, if any?

____

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